United Nations A/CONF.192/PC/L.9

General Assembly

Distr.: Limited
23 March 2001

Original: English

Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects
Third session
19-30 March 2001

Draft decision

The Preparatory Committee recommends that the Conference be held at the ministerial level.

01-30335 (E) 230301

United Nations A/CONF.192/PC/L.10

General Assembly

Distr.: Limited
23 March 2001

Original: English

Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects
Third session
19-30 March 2001

Draft rule 64 of the draft rules of procedure of the Conference

The Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects decides that, with respect to the attendance of non-governmental organizations at the Conference, attendance will be open to:

(a) Relevant non-governmental organizations in consultative status with the Economic and Social Council in accordance with the provisions of resolution 1996/31 of 25 July 1996. These non-governmental organizations should inform the President of the Conference about their interest to attend;

(b) Other interested non-governmental organizations relevant and competent to the scope and the purpose of the Conference provided that requests to do so are submitted to the President of the Conference and are accompanied by information on the organization's purpose, programmes and activities in areas relevant to the scope of the Conference. The President of the Conference will subsequently provide the Conference with a list of these non-governmental organizations for consideration on a no-objection basis;

(c) Non-governmental organizations accredited through the process specified above may attend meetings of the Conference, other than those designated as closed;

(d) Representatives of accredited non-governmental organizations will be allowed to address the Conference during one meeting specifically allocated for this purpose. These meetings will not coincide with other meetings of the Conference;

(e) Accredited non-governmental organizations will be provided, upon request, with documents related to the Conference, and they may, at their own expense, provide material to the delegations, outside the conference room, in the area of the Conference;

(f) Arrangements concerning the accreditation and attendance of non-governmental organizations at the Conference shall in no way create a precedent for other United Nations conferences.

01-30342 (E) 230301

United Nations

A/CONF.192/PC/L.11

General Assembly

Distr.: Limited
27 March 2001

Original: English

Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects
Third session
19-30 March 2001

Proposed changes to the draft provisional rules of procedure of the Conference (A/CONF.192/PC/L.5)

Working paper by the Chairman of the Preparatory Committee

X. Public and private meetings

General principles

1. *Replace* draft rule 57 with the following text:

"1. The plenary meetings of the Conference and the meetings of the Committee of the Whole[1] shall be held in public unless the body concerned decides otherwise.

"2. Meetings of other organs of the Conference shall be held in private."

2. *Delete* draft rule 58.

3. *Replace* draft rule 59 with the following text:

"At the close of a private meeting, the presiding officer of the body concerned may issue a communiqué through the Secretary-General of the Conference."

Note: Based on the Rules of Procedure of the General Assembly and the International Conference on the Relationship between Disarmament and Development.

[1] The exact name of this subsidiary body is still to be determined.

01-30597 (E) 270301

United Nations A/CONF.192/PC/L.12

General Assembly

Distr.: General
28 March 2001

Original: English

Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects
Third session
19-30 March 2001

Draft report of the Preparatory Committee

I. Introduction

1. At its fifty-fourth session, the General Assembly adopted resolution 54/54 V of 15 December 1999, the operative part of which reads as follows:

"*The General Assembly*,

"1. *Decides* to convene the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects in June/July 2001;

"2. *Also decides* that the scope of the Conference shall be the illicit trade in small arms and light weapons in all its aspects;

"3. *Further decides* to establish a preparatory committee open to participation by all States, which will hold no fewer than three sessions, with its first session to be held in New York from 28 February to 3 March 2000;

"4. *Decides* that the specialized agencies, other relevant intergovernmental organizations and relevant entities, having received a standing invitation to participate as observers in the sessions and in the work of the General Assembly, shall participate, as observers, in the Preparatory Committee, and requests the Committee to take a decision on the modalities of attendance of non-governmental organizations at its sessions;

"5. *Requests* the Preparatory Committee to decide, at its first session, on the date and venue of the Conference in 2001 as well as on the dates and venue of its subsequent sessions;

"6. *Stresses* the need to ensure the widest possible and effective participation in the Conference in 2001;

"7. *Requests* the Preparatory Committee to make recommendations to the Conference on all relevant matters, including the objective, a draft agenda, draft rules of procedure and draft final documents, which will include a

01-30850 (E) 280301

programme of action, and to decide on background documents to be made available in advance;

"8. *Invites* all Member States, in particular those that have not yet done so, in response to the note verbale of the Secretary-General of 20 January 1999, to communicate to the Secretary-General their views on the agenda and other relevant questions relating to the Conference;

"9. *Requests* the Secretary-General to transmit the replies of Member States relevant to paragraph 8 above to the Preparatory Committee and to render the Preparatory Committee and the Conference all necessary assistance, including the provision of essential background information, relevant documents and summary records;

"10. *Endorses* the report of the Secretary-General on small arms (A/54/258), prepared with the assistance of the Group of Governmental Experts on Small Arms pursuant to General Assembly resolution 52/38 J, bearing in mind the views of Member States on the report;

"11. *Calls upon* all Member States to implement the relevant recommendations contained in section IV of that report to the extent possible and where necessary in cooperation with appropriate international and regional organizations and/or through international and regional cooperation;

"12. *Requests* the Secretary-General to seek the views of Member States on the report as well as on the implementation of the relevant recommendations contained therein;

"13. *Also requests* the Secretary-General to implement the relevant recommendations contained in section IV of the report within available financial resources and with any other assistance provided by the States in a position to do so and in cooperation with appropriate international and regional organizations where necessary;

"14. *Further requests* the Secretary-General, in order to assist in preventing the illicit trafficking in and illicit circulation of small arms and light weapons:

"(a) To carry out a study, within available financial resources and with any other assistance provided by Member States in a position to do so, and with the assistance of governmental experts appointed by him, on the basis of equitable geographical representation, while seeking the views of Member States, on the feasibility of restricting the manufacture and trade of such weapons to the manufacturers and dealers authorized by States, which will cover the brokering activities, particularly illicit activities, relating to small arms and light weapons, including transportation agents and financial transactions;

"(b) To submit the study as one of the background documents for the Conference to be held in 2001;

"15. *Decides* to include in the provisional agenda of its fifty-fifth session the item entitled "Small arms".

2. By its decision 55/415, entitled " Small arms", adopted on 20 November 2000, the General Assembly decided to convene the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects in New York, from 9 to 20 July 2001. It also decided to convene the third session of the Preparatory Committee for the Conference in New York, from 19 to 30 March 2001.

3. Representatives of the following States participated in the third session of the Preparatory Committee: Algeria, Andorra, Angola, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bangladesh, Barbados, Belarus, Belgium, Benin, Bolivia, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burkina Faso, Cambodia, Cameroon, Canada, Cape Verde, Central African Republic, Chad, Chile, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Democratic People's Republic of Korea, Denmark, Djibouti, Dominican Republic, Ecuador, Egypt, Estonia, Ethiopia, Finland, France, Gabon, Gambia, Georgia, Germany, Ghana, Greece, Guatemala, Guinea, Guyana, Haiti, Holy See, Hungary, Iceland, India, Indonesia, Iran (Islamic Republic of), Iraq, Ireland, Israel, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Kyrgyzstan, Lesotho, Libyan Arab Jamahiriya, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malaysia, Mali, Malta, Mauritius, Mexico, Monaco, Mongolia, Morocco, Mozambique, Myanmar, Namibia, Nauru, Nepal, Netherlands, New Zealand, Niger, Nigeria, Norway, Pakistan, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Samoa, San Marino, Saudi Arabia, Senegal, Sierra Leone, Singapore, Slovakia, Slovenia, Solomon Islands, South Africa, Spain, Sri Lanka, Sudan, Swaziland, Sweden, Switzerland, Syrian Arab Republic, Thailand, the former Yugoslav Republic of Macedonia, Togo, Trinidad and Tobago, Tonga, Turkey, Uganda, Ukraine, United Kingdom of Great Britain and Northern Ireland, United Republic of Tanzania, United States of America, Uruguay, Venezuela, Viet Nam, Yugoslavia and Zambia.

4. The following intergovernmental organizations and relevant entities participated as observers in the third session of the Preparatory Committee: the European Community, the Permanent Observer of the International Organization of la Francophonie, the Permanent Observer of the Organization of African Unity, the International Committee of the Red Cross and the World Bank.

II. Organization of the work of the Preparatory Committee

5. Pursuant to General Assembly decision 55/415, entitled "Small arms", adopted on 20 November 2000, the Preparatory Committee for the Conference held its third session at United Nations Headquarters from 19 to 30 March 2001.

6. During its third session, the Committee held a total of xxx plenary meetings.

7. At its third session, the following officers served the Committee:

Chairman

Mr. Carlos dos Santos	Mozambique

Vice-Chairpersons

Mr. Yashar Aliyev	Azerbaijan
Ms. Marie-France André	Belgium
Mr. Herbert Calhoun	United States of America
Mr. Alioune Diagne	Senegal
Ms. Dace Dobraja	Latvia
Mr. Mitsuro Donowaki	Japan
Ms. Ana Maria Sampaio Fernandes	Brazil
Mr. Shen Guofang	China
Mr. Samuel Insanally	Guyana
Mr. Ismail Khairat	Egypt
Mr. Valeri P. Kuchynski	Ukraine
Mr. Fares Kuindwa	Kenya
Mr. Gunnar Lindeman	Norway
Mr. Robert McDougall	Canada
Mr. Hamid Baeidi Nejab	Islamic Republic of Iran
Mr. Richard Pierce	Jamaica
Mr. Jean Du Preez	South Africa
Mr. Denis Dangue Rewaka	Gabon
Mr. Henrik Salander	Sweden
Mr. Raul Salazar-Cosio	Peru
Mr. Carlos Soretta	Philippines
Mr. Alyaksandr Sychov	Belarus
Ms. Nury Vargas	Costa Rica
Mr. Makmur Widodo	Indonesia
Mr. Przemyslaw Wyganowski	Poland

8. Ms. Agnès Marcaillou, Senior Political Affairs Officer, Department for Disarmament Affairs, served as Secretary of the Preparatory Committee. As in the previous two sessions, the Secretary of the Preparatory Committee acted as Rapporteur.

9. At its 28th meeting, held on 19 March, the Committee considered the timetable submitted by the Chair for the week of 19 to 23 March 2001, as contained in Informal Paper No. 1 of 19 March 2001. At its 36th meeting, held on 26 March, the Committee considered the timetable submitted by the Chair for the week 26 to 30 March 2001, as contained in Informal Paper No. 4 of 26 March 2001. The Committee adopted the two working time-tables and decided to focus its work mostly on the consideration of the Chairman's working paper entitled "Draft Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects" (A/CONF.192/PC/L.4/Rev.1).

10. At the same meeting, at the request of the Preparatory Committee, the representatives of the United Nations Centre for International Crime Prevention, Vienna, the Organization for Security and Cooperation in Europe (OSCE), and the Southern African Development Community (SADC) made statements respectively on the recent conclusion of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized

Crime, the OSCE Document on Small Arms and Light Weapons, and the Southern African Development Community Declaration Concerning Firearms, Ammunition and Other Related Material.

11. At the same meeting, the Chairperson of the Group of Governmental Experts established pursuant to General Assembly resolution 54/54 V, Ms. Peggy Mason of Canada, presented the work of the Group as contained in document A/CONF.192/PC/33.

12. At the 29th meeting, at the request of the Preparatory Committee, the representatives of the following United Nations Departments, Agencies, Programmes and Funds made statements: Department for Disarmament Affairs, United Nations Children's Fund (UNICEF), World Health Organization (WHO), United Nations Institute for Disarmament Research (UNIDIR), United Nations Development Programme (UNDP), Office of the High Commissioner for Human Rights (OHCHR), Office for the Coordination of Humanitarian Affairs, and the Department for Peacekeeping Operations.

13. At the 34th meeting, on 23 March, the Minister of Foreign Affairs and International Cooperation of Kenya, Mr. Bonaya Adhi Godana, addressed the Committee on behalf of the Organization of the Great Lakes Region and the Horn of Africa.

14. At the same meeting, the Officer-in-Charge of the Department for Disarmament Affairs, Mr. Evgeniy Gorkovskiy, made a statement on behalf of the United Nations Coordinating Action on Small Arms mechanism (CASA).

15. At the 36th meeting, on 26 March, a representative of the Office of the Special Representative of the Secretary-General for Children and Armed Conflict made a statement.

16. At the 34th meeting, on 23 March, representatives of the following non-governmental organizations addressed the Committee: International League for Peace and Freedom, Institute of Securities Studies, Amnesty International, Saferworld, National Rifle Association, Groupe de Recherche et d'information sur la Paix et la Sécurité, International Alert, Working Group on Weapon Reduction, Christian Council of Mozambique, Security Research and Information Centre, International Action Network on Small Arms, Eminent Persons Group, Sporting Shooters Association of Australia, Centre for International Policy, Africa Peace Forum, Bradford University and Arias Foundation.

17. A number of delegations circulated the following documents:

(a) A/CONF.192/PC/31: letter dated 30 January 2001 from the Permanent Representative of South Africa to the United Nations addressed to the Under-Secretary-General for Disarmament Affairs and transmitting a statement by South Africa;

(b) A/CONF.192/PC/32: note verbale dated 2 March 2001 from the Permanent Mission of Sweden to the United Nations addressed to the Department for Disarmament Affairs of the Secretariat transmitting the comments of the European Union on the Chairman's revised Draft Programme of Action on Small Arms (A/CONF.192/PC/L.4/Rev.1);

(c) A/CONF.192/PC/34: note verbale dated 15 March 2001 from the Permanent Mission of Japan to the United Nations addressed to the Secretariat of the United Nations, transmitting the general comments of Japan on the revised draft Programme of Action;

(d) A/CONF.192/PC/35: letter dated 16 March 2001 from the Permanent Representative of Namibia to the United Nations addressed to the Under-Secretary-General for Disarmament Affairs, transmitting the text of the Declaration concerning Firearms, Ammunition and Other Related Materials in the Southern African Development Community;

(e) A/CONF.192/PC/37: note verbale dated 19 March 2001 from the Permanent Mission of Bulgaria to the United Nations addressed to the Chairman of the Preparatory Committee, transmitting working views and suggestions of the Bulgarian delegation on regional and subregional activities;

(f) A/CONF.192/PC/38: note verbale dated 21 March 2001 from the Permanent Mission of France and the Permanent Observer Mission of Switzerland to the United Nations addressed to the Chairman of the Preparatory Committee, transmitting a Summary of the Franco-Swiss Seminar on the Traceability of Small Arms and Light Weapons, held in Geneva from 12 to 13 March 2001.

18. The Preparatory Committee had before it the following documents for its consideration:

(a) A/CONF.192/PC/L.2/Rev.2: draft provisional agenda of the Conference;

(b) A/CONF.192/PC/L.7/Rev.2: draft decision on the modalities of attendance of non-governmental organizations at the sessions of the Preparatory Committee as well as the Conference;

(c) A/CONF.192/PC/L.8: draft rule 33 of the rules of procedure for the Conference;

(d) A/CONF.192/PC/L.9: draft decision;

(e) A/CONF.192/PC/L.10: draft rule 64 of the draft rules of procedure of the Conference;

(f) A/CONF.192/PC/L.11: working paper by the Chairman of the Preparatory Committee entitled "Proposed changes to the draft provisional rules of procedure of the Conference (A/CONF.192/PC/L.5)";

(g) A/CONF.192/PC/L.12: draft report of the Preparatory Committee.

19. A number of documents were made available at the third session of the Preparatory Committee. The list of documents is contained in Information Paper No. 1 of 28 March 2001.

20. A list of delegations participating in the third session of the Preparatory Committee is contained in document A/CONF.192/PC/36.

21. Pursuant to the decision of the General Assembly of 15 December 1999 that summary records should be provided for only those meetings at which decisions would be taken, summary records were provided for xxx meetings, respectively the 33rd, xx, xx, xx, and xx meetings.

III. Decisions and recommendations of the Preparatory Committee

A. Decisions

22. At its xxx meeting, held on xxx March, in accordance with operative paragraph 4 of General Assembly resolution 54/54 V of 15 December 1999, the Committee adopted a decision on "modalities of attendance of non-governmental organizations at its session and at the Conference" (A/CONF.192/PC/L.7/Rev.2). Before the adoption of the decision, the Chairman stated, for the record, that it was the Committee's understanding that, once non-governmental organizations enjoying consultative status with the Economic and Social Council in accordance with the provisions of Economic and Social Council resolution 1996/31 of 25 July 1996 had informed the Chairman of the Preparatory Committee of their interest in participating in the Committee, they would have a right to participate in its session without being subjected to a second screening. In addition, the Chairman also stated that it was the Committee's understanding that the language contained in the decision would apply, mutatis mutandis, to the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects and that the language would be reflected in draft rule 64 of the draft rules of procedure of the Conference.

23. In accordance with operative paragraph 7 of the same resolution, the Committee also decided that the study carried out by the Secretary-General with the assistance of a group of governmental experts established in pursuance to operative paragraph 14 of General Assembly resolution 54/54 V, should be made available in advance (A/CONF.192/PC/33). The Committee also decided that those documents and papers made available to it throughout the preparatory process, including the reports of its first, second and third sessions (A/CONF.192/PC/9, A/CONF.192/PC/30 and A/CONF.192/PC/L.12), would constitute background documents for the Conference.

B. Recommendations

24. In accordance with operative paragraph 7 of General Assembly resolution 54/54 V, at its xx meeting, held on xxx March, the Committee recommended to the Conference the objective of the Conference, as contained in document A/CONF.192/PC/L.3.

25. In accordance with the same operative paragraph, the Committee recommended to the Conference the draft provisional agenda of the Conference, as adopted by the Committee at its second session (A/CONF.192/PC/L.2/Rev.2).

26. At its xx meeting, the Committee recommended to the Conference that draft rule 64 on the modalities of attendance of non-governmental organizations at the Conference should be incorporated in the draft rules of procedure of the Conference, as contained in A/CONF.192/PC/L.5.

27. In accordance with the same operative paragraph, at its xx meeting, held on xxx March, the Preparatory Committee recommended to the Conference the draft rules of procedure of the Conference as contained in document

A/CONF.192/PC/L.5, amended by the insertion of draft rule 33 on decision-making, adopted by the Committee at its second session, as contained in document A/CONF.192/PC/L.8, and of draft rule 64, as contained in document A/CONF.192/PC/L.10 and as amended by the Chairman's proposals for changes as contained in document A/CONF.192/PC/L.11.

28. In accordance with the same operative paragraph, at its xx meeting, held on xxx March, the Committee recommended to the Conference a draft Programme of Action as contained in A/CONF.192/PC/L.4/Rev.2.

29. At the same meeting, the Committee also recommended that the Conference should be held at the ministerial level (A/CONF.192/PC/L.9).

30. The Preparatory Committee concluded its third session on 30 March 2001.

United Nations A/CONF.192/PC/L.13

General Assembly

Distr.: Limited
30 March 2001

Original: English

Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects
Third session
19-30 March 2001

Draft decision proposed by the Netherlands

The Preparatory Committee for the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, which will be held at United Nations Headquarters from 9 to 20 July 2001,

1. *Recommends* that the first day of the Conference be considered as "Small Arms Destruction Day";

2. *Calls upon* all States to organize, on a voluntary basis and, where possible, together with non-governmental organizations and civil society, public events relating to the destruction of small arms and light weapons on "Small Arms Destruction Day" and to ensure the widest possible dissemination of information about the events, including through national and international media;

3. *Requests* the Secretary-General to communicate this recommendation to all States and other participants in the meetings of the Preparatory Committee, including non-governmental organizations.

01-31496 (E) 020401